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Exploring Quantum Optimization for Software Engineering

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Literature review

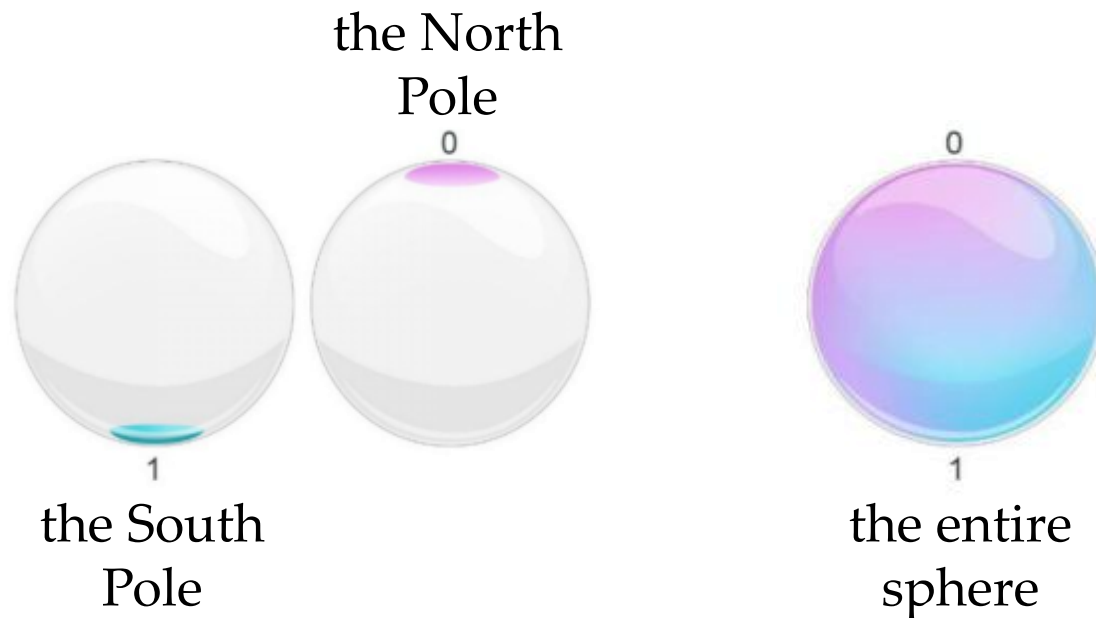
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Future directions

1 Background: Opportunities for quantum computing

➤ Principle perspective:

- Quantum computer: a computer that exploits **quantum mechanical phenomena** (e.g., **both particles and waves**).
- **Computational unit** (more details can be referred to [1])
 - ❑ Classical bit: either 0 (low voltage) or 1 (high voltage)
 - ❑ Quantum bit (a.k.a. qubit): **other than** the two values ($|0\rangle$ and $|1\rangle$)



The Bloch sphere for qubit

Physical support:

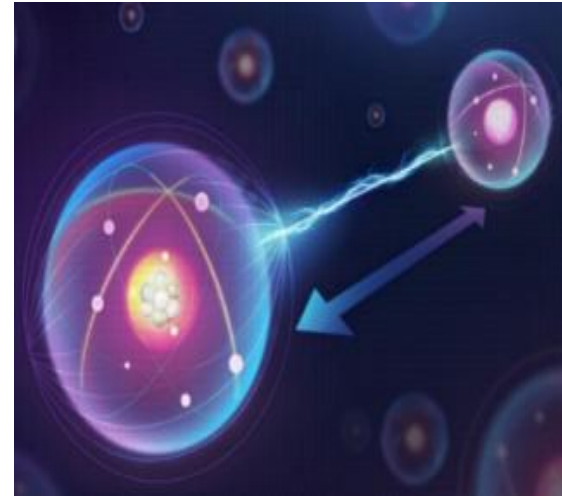
- ✓ Photon
- ✓ Coherent state of light
- ✓ Electrons
- ✓ Nucleus
- ✓ Josephson junction
- ✓ ...

1 Background: Opportunities for quantum computing

➤ Principle perspective:



The Schrödinger's cat



Entangled qubits with far distance

Quantum superposition: enabling a system in the linear combination of all possible states.

Quantum entanglement: allowing two qubits sharing and correlating information to represent more complicated states.

Possible advantages of exploring quantum principles result in **quantum-inspired techniques**.

1

Background: Opportunities for quantum computing

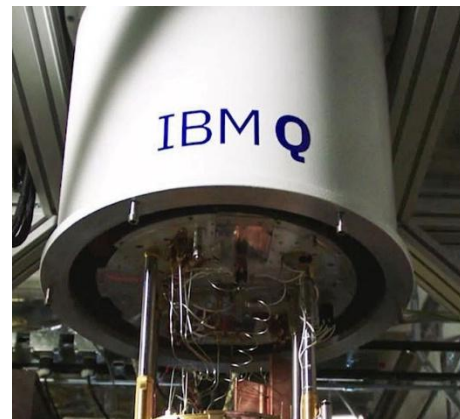
➤ Hardware perspective:

Current state: **Noisy Intermediate-Scale Quantum (NISQ)** era

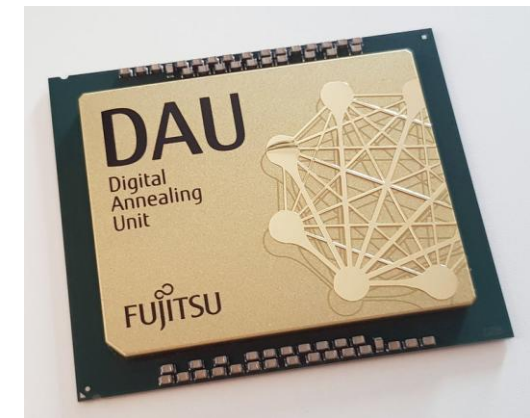
- Quantum annealers: exploit **quantum tunneling and superposition** to find **low-energy of a quantum system**.
- Gate-based quantum computers: propose **universal** quantum gates to implement designed **quantum algorithms**.
- *Quantum-inspired hardware: **imitate** quantum mechanical phenomena on specific **classical hardware** (e.g., digital annealing, coherent Ising machines).



D-wave's quantum annealer



IBM's gate-based quantum computer



Fujitsu's digital annealing

1 Background: Opportunities for quantum computing

➤ Algorithm perspective:

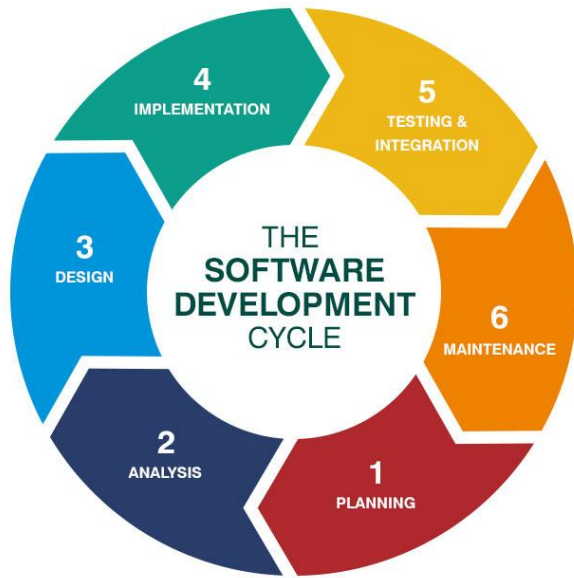
- **NISQ-ready quantum algorithms:** find some practical useful examples **even if NISQ is used**, such as hybrid quantum-classical methods.
 - ✓ Quantum approximate optimization algorithm (QAOA);
 - ✓ Variational quantum eigensolver (VQE);
 - ✓ Quantum machine learning (QML).
- **Post-NISQ quantum algorithms:** practically work only under the assumption that **a large number of qubits** are available and that **error correction** is possible.
 - ✓ Grover's search;
 - ✓ Hadamard testing;
 - ✓ Harrow-Hassidim-Lloyd algorithm (HHL).

Candidates for optimization tasks: QAOA, VQE, Grover's search.

1 Background: Quantum software engineering

➤ The scope of quantum software engineering (QSE) [2] :

- SE4QC: focusing on the principles, methodologies, standards and tools for **designing, developing, testing, maintaining**, and **managing** quantum software systems;
- QC4SE: applying **quantum-based** techniques, including quantum algorithms such as quantum annealing, to solve complex problems in **both classical and quantum** software systems.



Software development life cycle

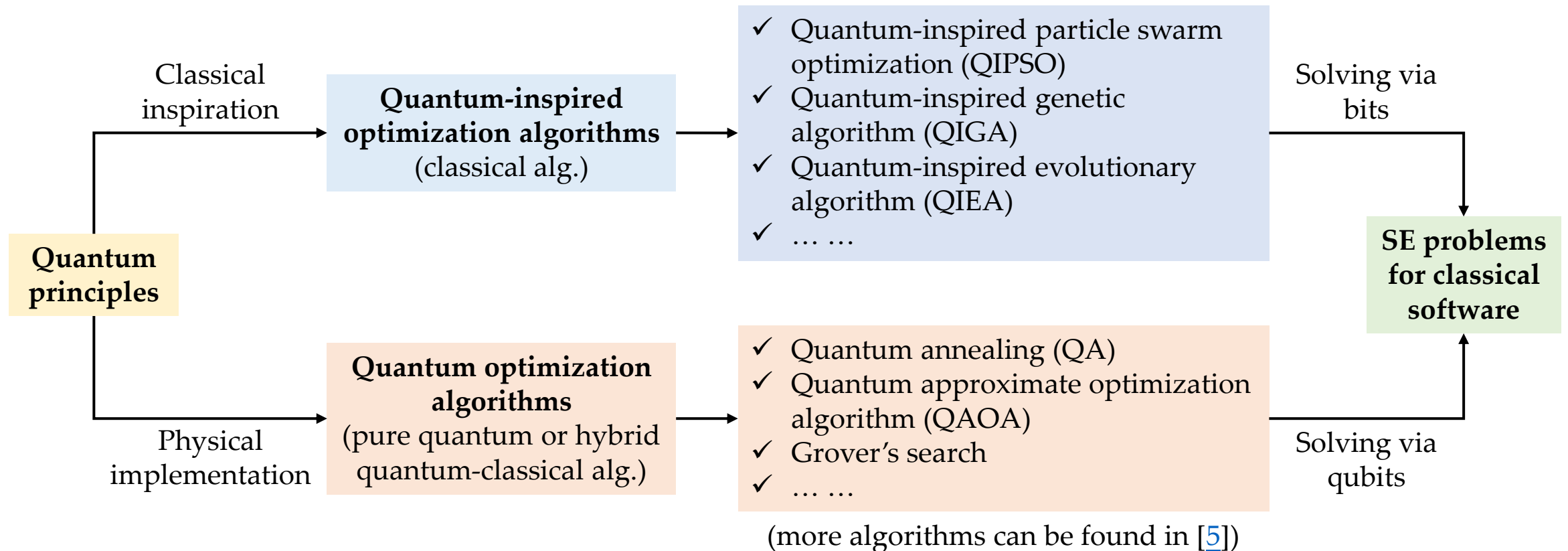
- Software requirements
- Software architecture
- Software design
- Software construction
- Software testing
- Software engineering operations
- Software maintenance
- Software security
- Software configuration management
- Software engineering management
- Software engineering process
- Software engineering models and methods
- Software quality
- Software security
- Software engineering professional practice
- Software engineering economics

Software engineering activities listed by *Software Engineering Body of Knowledge v4.0 (SWEBOK)* [3]

1 Background: Quantum software engineering

➤ Preliminary attempt on QC4SE:

Quantum-based techniques (i.e., **quantum** and **quantum-inspired algorithms**) for the optimization of **classical SE problems**, particularly the ones investigated in **Search-based Software Engineering (SBSE)** [4].



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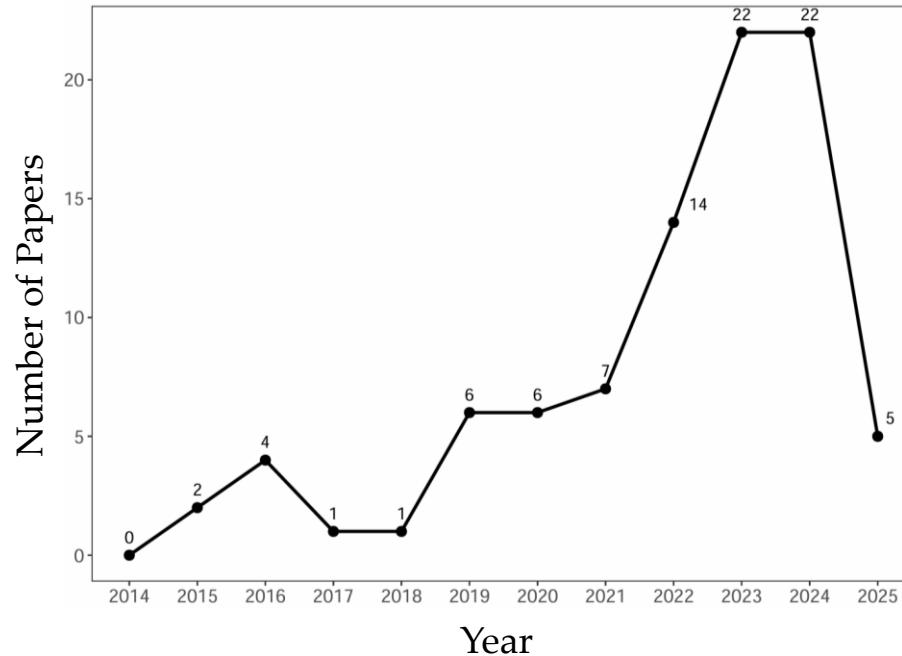
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Future directions

Literature review: Publication trends

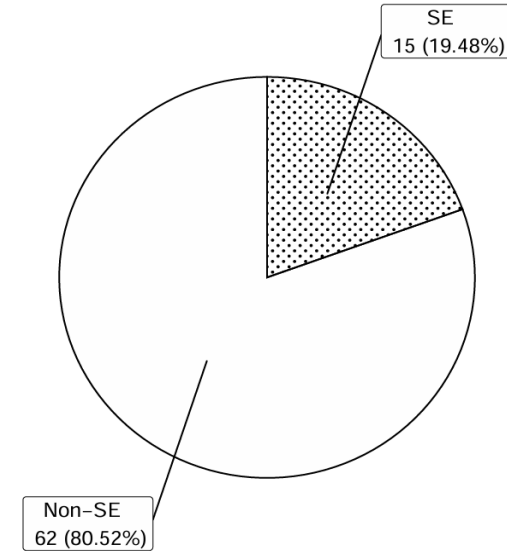
Note: *The results and findings of this section are selected from empirical studies in our recent systematic literature review.*

➤ Publication time & venues :



Trend over years

- ✓ Keyword searching: 2014-2024 (excluding arxiv);
- ✓ Snowballing: until April 2025 (including arxiv);
- ✓ In total, **77** primary papers are collected.



Number of primary studies whose venues belong to SE or Non-SE

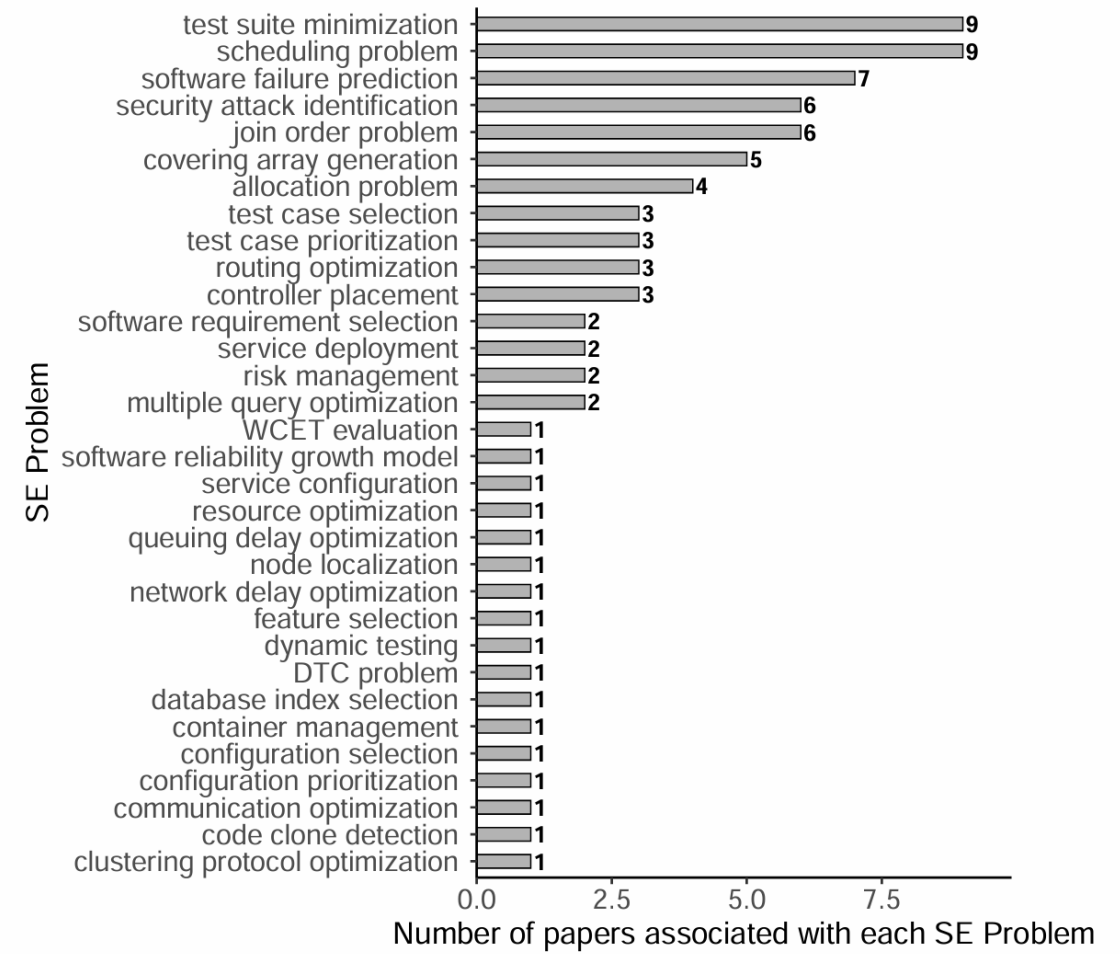
- ✓ **Top SE journals:** including ACM Transactions on Software Engineering and Methodology (TOSEM) and IEEE Transactions on Software Engineering (TSE);
- ✓ **QC-related venues:** including IEEE International Conference on Quantum Computing and Engineering (QCE).

➤ Addressed SE problems:



Distribution of papers across SE activities

- ✓ SE operations involve the interaction with other communities, such as databases, networking, and cybersecurity.
- ✓ Software testing gains great attention due to its high costs in software development life cycle.
- ✓ Some SE activities (e.g., software requirements) are widely investigated in SBSE, but seldomly explored by quantum-based optimization.



Distribution of papers across SE problems

Literature review: Used approaches

➤ Objectives of optimization problems:

- Definitions in SBSE:
 - ✓ **Single-objective**: a unique objective;
 - ✓ **Multi-objective**: 2 or 3 objectives;
 - ✓ **Many-objective**: 4 or more objectives.
- **Problem perspective**: objectives proposed according to requirements for SE problems to be solved

Example: Test case minimization for regression testing with multiple objectives.

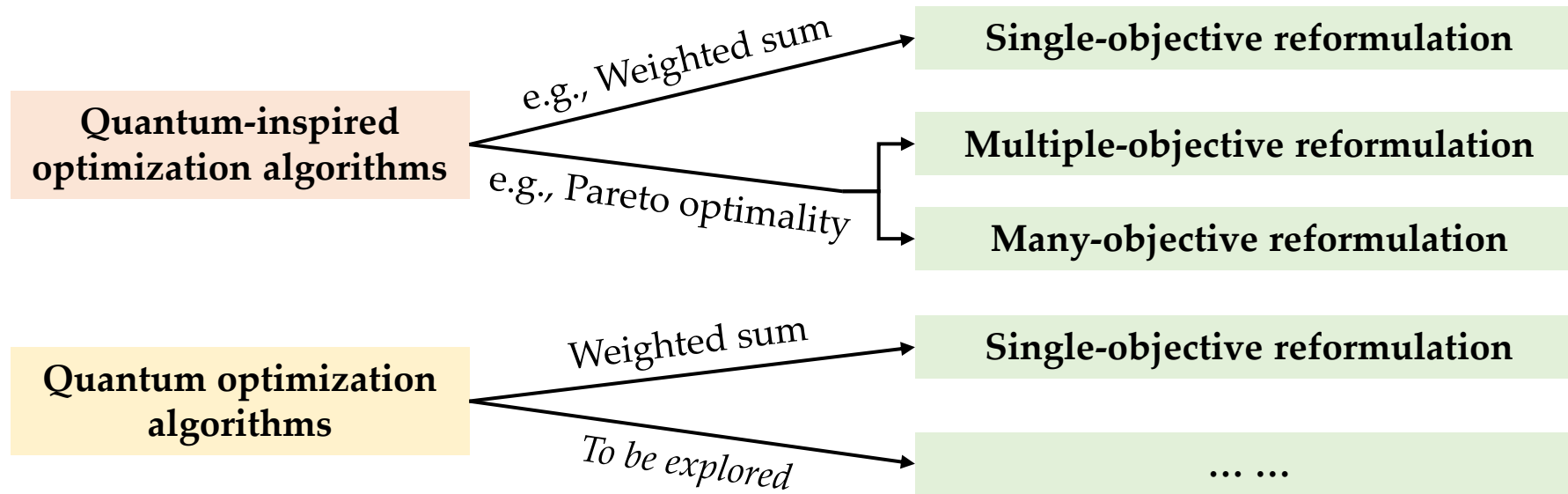
- Maximize** the fault-detection capability;
- Minimize** the execution time;
- Minimize** the size of the reduced test suite.

High-level objectives for SE: **cost** and **effectiveness** that usually conflict with each other.

Literature review: Used approaches

➤ Objectives of optimization problems:

- Reformulation perspective: simplification or adaption to the approach



Example: Weighted sum for cost $\mathcal{O}_{\text{cost}}(\mathbf{x})$ and effectiveness $\mathcal{O}_{\text{effect}}(\mathbf{x})$,

$$\mathcal{O}_{\text{total}}(\mathbf{x}) = w\mathcal{O}_{\text{cost}}(\mathbf{x}) + (1-w)\mathcal{O}_{\text{effect}}(\mathbf{x})$$

w : user-defined weight, \mathbf{x} : the encoded solution.

Literature review: Used approaches

➤ Objectives of optimization problems:

- **Mathematical models for quantum optimization**

Quadratic form:

$$\min \mathcal{O}(\mathbf{x}) = \sum_i Q_{i,i} x_i + \sum_{i < j} Q_{i,j} x_i x_j$$

- ✓ **Quadratic unconstrained binary optimization (QUBO):** $x_i \in \{0, 1\}$;
- ✓ **Quadratic unconstrained discrete optimization (QUDO):** $x_i \in \mathbb{Z}$;
- ✓ **Ising model:** $x_i \in \{+1, -1\}$ refers to the spin, which can be physically interpreted.

Higher-order form: **Higher-order unconstrained binary optimization (HUBO)**

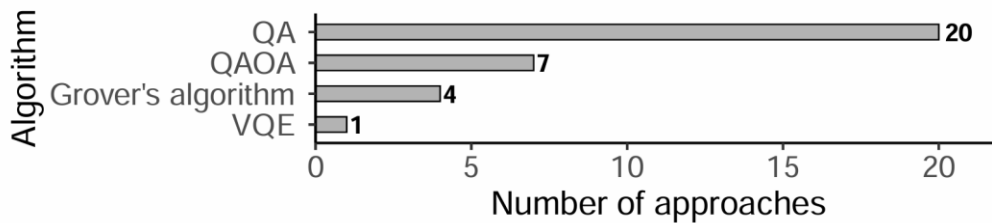
Example: The clause formula $x_1 \vee x_2 \vee \neg x_3$ serving as the constraint can be converted into a HUBO model,

$$(1 - x_1)(1 - x_2)x_3 = (1 + x_1x_2 - x_1 - x_2)x_3 = x_1x_2x_3 - x_1x_3 - x_2x_3 + x_3$$

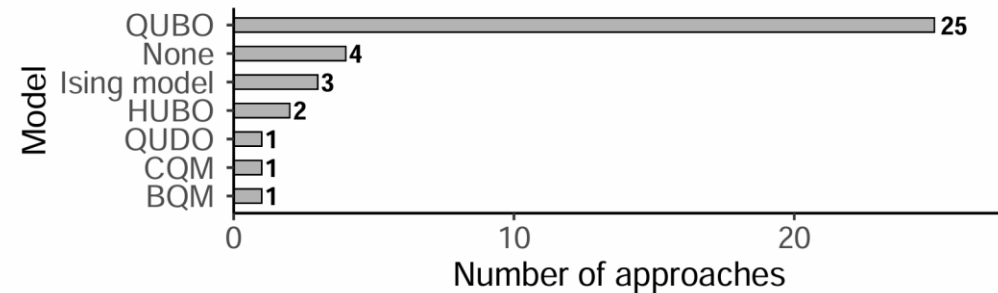
Literature review: Used approaches

➤ Approaches to solve optimization problems:

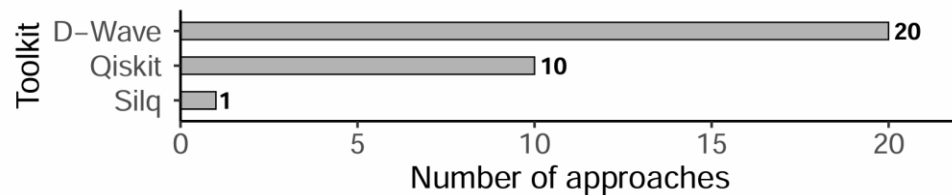
- Results for quantum approaches and their implementations



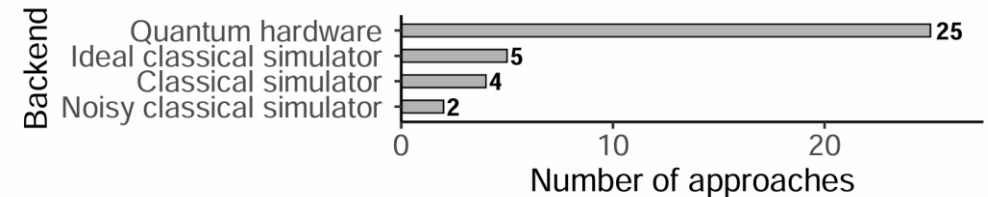
Frequencies of used quantum algorithms



Frequencies of used mathematical models



Frequencies of applied toolkits (quantum computing platforms)



Frequencies of selected backends

- ✓ Quantum annealing via D-Wave is currently the most widely used quantum algorithm.
- ✓ QUBO model is the most frequently utilized for objective formulation
- ✓ Quantum hardware has been the most extensively tested.

Literature review: Used approaches

➤ Approaches to solve optimization problems:

- Results for quantum-inspired optimization

Particle swarm optimization algorithm (16, 33.33%)

Genetic algorithm (8, 16.67%)

Salp swarm algorithm (4, 8.33%)

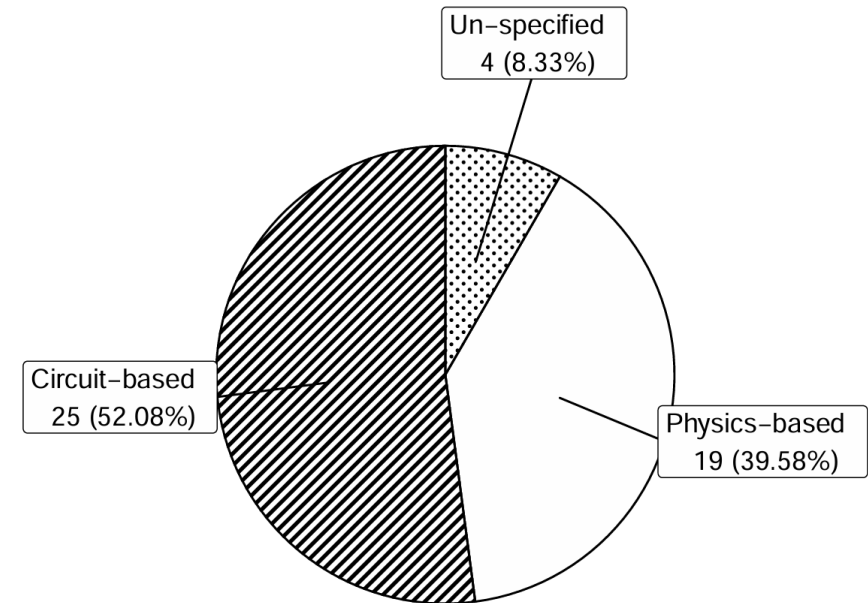
Evolutionary algorithm (3, 6.25%)

Gravitational search algorithm (3, 6.25%)

Top-5 base algorithms for quantum inspiration

Remark for inspiration mechanisms:

- ✓ **Circuit-based inspiration:** involve the quantum circuit model (e.g., qubit, quantum gate), and iterate via unitary matrices (i.e., quantum gates).
- ✓ **Physics-based inspiration:** include quantum physical concepts (e.g., wavefunction, potential well), and iterate by Monte Carlo sampling.



Number and proportion of quantum-inspired solutions by types of inspiration mechanisms

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Future directions

3 Future directions

➤ SE topics not yet covered:

Chapter	Title	Covered?	Chapter	Title	Covered?
1	Software Requirements	✓	9	Software Engineering Management	✓
2	Software Architecture	✗	10	Software Engineering Process	✗
3	Software Design	✓	11	Software Engineering Models and Methods	✗
4	Software Construction	✗	12	Software Quality	✓
5	Software Testing	✓	13	Software Security	✓
6	Software Engineering Operations	✓	14	Software Engineering Professional Practice	✗
7	Software Maintenance	✓	15	Software Engineering Economics	✗
8	Software Configuration Management	✓			

Coverage of SE activities listed in SWEBOK

Suggested directions:

- **Software architecture** (being never explored in quantum-based optimization):
Perform architecture tradeoff analysis based on the quality attributes;
- **Software requirements** (being seldomly explored in quantum-based optimization):
Investigate requirement prioritization;
- **Software testing** (being widely explored in quantum-based optimization but scarcely involving other problems):
Study scenario-based testing with search, and mutation testing with search;
-

3 Future directions

➤ Mapping of solutions to problems:

- **Handling constraints for quantum optimization:**
 - **Encoding inequalities:** Introduce reasonable slack variables or reformulate the objective function.
 - **Mapping to benchmark problems:** Transform SE problems into benchmark problems in quantum computing, such as Maximum cut (Max-cut), Boolean satisfiability problem (SAT).
- **Enhancing hybrid quantum-classical methods:**
 - **Decomposition:** Decompose a large problem into many sub-problems for NISQ devices.
 - **Warm-start and cold-start methods:** Consider preprocessing or postprocessing when using quantum optimizers.
- **Extending quantum-inspired algorithms:**
 - **More sophisticated algorithms:** Inspired from other optimization algorithms or explore other inspiration mechanisms.
 - **Hybrid paradigms:** Explore potential integration with quantum optimization approaches [6].

References (selection)

- [1] Nielsen M A, Chuang I L. Quantum computation and quantum information[M]. Cambridge university press, 2010.
- [2] Pezzè M, Abrahão S, Penzenstadler B, et al. A 2030 roadmap for software engineering[J]. ACM Transactions on Software Engineering and Methodology, 2025, 34(5): 1-55.
- [3] H. Washizaki, eds., Guide to the software engineering body of knowledge (SWEBOK Guide), Version 4.0, IEEE Computer Society, 2024; www.swebok.org.
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- [5] Abbas A, Ambainis A, Augustino B, et al. Challenges and opportunities in quantum optimization[J]. Nature Reviews Physics, 2024: 1-18.
- [6] Qiu Q, Zhang L, Wu M, et al. A practical applicable quantum-classical hybrid ant colony algorithm for the NISQ era[J]. arXiv preprint arXiv:2410.17277, 2024.

Acknowledgments

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Thanks for listening !

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